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Kinematics of knees with osteoarthritis show reduced lateral femoral roll-back and maintain an adducted position. A systematic review of research using medical imaging.

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Title

Kinematics of knees with osteoarthritis show reduced lateral femoral roll-back and maintain an adducted position. A systematic review of research in medical imaging.

Abstract

Background: While several studies describe kinematics of healthy and osteoarthritic knees using the accurate imaging and computer modelling now possible, no systematic review exists to synthesise these data. **Method:** A systematic review extracted quantitative observational, quasi-experimental and experimental studies from PubMed, Scopus, Medline and Web of Science that examined motion of the bony or articular surfaces of the tibiofemoral joint during any functional activity. Studies using surface markers, animals, and in-vitro studies were excluded. **Results:** 352 studies were screened to include 23 studies. Dynamic kinematics were recorded for gait, step-up, kneeling, squat and lunge and quasi-static squat, knee flexion in side-lying or supine leg-press. Kinematics were described using a diverse range of measures including six degrees of freedom kinematics, contact patterns or the projection of the femoral condylar axis above the tibia. Meta-analysis of data was not possible since no three papers recorded the same activity with the same measures. Visual evaluation of data revealed that knees with osteoarthritis maintained a more adducted position and showed less posterior translation of the lateral femoral condylar axis than healthy knees. Variability in activities and in recording measures produced greater variation in kinematics, than did knee osteoarthritis. **Conclusion:** Differences in kinematics between osteoarthritic and healthy knees were observed, however, these differences were more subtle than expected. The synthesis and progress of this research could be facilitated by a consensus on reference systems for axes and kinematic reporting.

1. Introduction

Osteoarthritis of the knee affects 18.2% of people in the UK over 45 years, which was 4.11 million people in 2017 (Arthritis Research UK 2017). In Australia, total knee replacement is the most common surgical procedure requiring hospital admission (Australian Institute of Health and Welfare 2015). With osteoarthritis imposing such a heavy burden of disease, there is intense interest in evidence-based solutions.

Much of the current understanding of knee kinematics in osteoarthritis is due to research using motion and kinetic analysis. Disease progression has influenced temporospatial characteristics of gait (Kaufman et al. 2001, Zeni et al. 2009); and increased adductor moment (Hurwitz et al. 1999, Andriacchi et al. 2006), varus thrust (Sharma et al. 2001) and muscle co-contraction have been validated as predictors of progression (Lewek et al. 2004, Hodges et al. 2016). These insights have informed current non-surgical management approaches (Simic et al. 2011, Fregly 2012, Farrokhi et al. 2013). However, a recent systematic review did not find evidence of increased knee adduction moment nor loss of internal rotation, demonstrating that aspects of kinematics in osteoarthritis still need explanation (Mills et al. 2013).

Recently, advances in medical imaging and computerised reconstruction have facilitated visualisation and modelling of the articular surface thereby ushering in the next generation of kinematic analysis. In its earliest form, roentgen photogrammetric analysis (RSA) using biplanar x-ray was highly accurate but invasive, consequently its application was constrained to surgical participants in small numbers (Karrholm et al. 2000, Saari et al. 2005, Weidow 2006). More recently CT and MRI have been used to provide a 3-dimensional model, which when registered to fluoroscopy, provides 4-dimensional analysis (Li et al. 2005, Hamai et al. 2009, Pickering et al. 2009, Koga 2015). Fluoroscopy units are now capable of capture rates

of up to 250 frames per second (You et al. 2001) and image registration algorithms can provide precision of less than one millimetre and one degree (DeFrate et al. 2006, Akter et al. 2015, Zeighami et al. 2017). Computer algorithms for 4D CT are also being developed (Alta et al. 2012). In this environment, previously unavailable accuracy in joint-level kinematics is emerging.

It is therefore timely to review whether current computational imaging can define the kinematic characteristics of osteoarthritis at the articular surface level (arthrokinematics). Individual studies have reported reduced flexion range of motion in addition to reduced posterior translation of the femoral condyles across the tibial plateau associated with flexion (Saari 2005, Scarvell et al. 2007). But there is a lack of agreement (Saari 2005, Hamai 2009) and the information has not been gathered into a cohesive review to identify the specific characteristics of joint movement in knee osteoarthritis.

This systematic review therefore asks what are the characteristics of arthrokinematics of the knee with osteoarthritis that deviate from healthy knee kinematics.

2. Method

This study was designed according to PRISMA guidelines and registered with Prospero (CDR42017072481) prior to commencement (Box 1).

Studies were identified by searching Medline, Web of Science, Pub Med, and Scopus. The reference lists of identified papers were further searched for eligible papers. Studies that were eligible included joint surface level kinematics descriptions of knees with osteoarthritis published or 'in press' (Box 2). Studies using surface markers, in-vitro, animals, and papers that did not include new data were excluded.

To capture knee arthrokinematics rather than motion analysis from skin marker systems, search terms were designed to identify the new technologies and bone and soft tissue imaging modalities used in joint kinematics research.

Osteoarthrit* AND *knee* AND *kinemat* AND
(fluoroscop* OR regist* OR ultrasound OR 'dynamic MRI').

There were no limits placed on the search, including publication date, language, document type, or age of participants.

2.1 Study selection

Each step of study selection, the assessment of quality and the determination of study design was conducted independently by two authors, blind to each other's findings.

Differences were resolved by discussion (Figure 1).

2.2 Methodological quality

A Modified Downs and Black checklist (Downs et al. 1998) was developed for assessment of quality, guided by the Cochrane Assessment of Bias (Higgins et al. 2011), with focus on internal validity and internal bias. Checklist items were grouped into reporting, external

validity, internal validity (bias), internal validity (selection bias) and statistical power (Table 1). A score was not used (Higgins 2011), since this review intended to be inclusive of all available studies in this new field, therefore methodological quality was not an inclusion criterion.

2.3 Data extraction

Data were extracted to determine the study designs, the characteristics of the healthy and osteoarthritic populations, the interventions in terms of the functional activity the participants performed and the measurement systems that were used.

Kinematic data were extracted for osteoarthritic and healthy knees for comparison where available. These data were extracted from tables or, where only figures were available, data extraction was performed using a bespoke Matlab routine (Mathworks, Natick, Massachusetts). Data were tabulated for each 15-degree interval from 0 to 150 degrees of knee flexion. Where 'maximum flexion' was reported, but not the actual flexion value, these data were not included, as they could not be mapped against flexion. Where an experimental group included participants with and without osteoarthritis, authors were contacted. For a study on the effect of obesity on knee kinematics (Li et al. 2017), authors provided population data (mean and standard deviation) for the participants with osteoarthritis separately.

2.4 Synthesis of results

To determine whether there was adequate study homogeneity for meta-analysis, we decided that more than two studies should meet the following criteria:

- Like kinematic measures reported

- Like activities (tasks) performed by participants

- Like knee compartment affected by osteoarthritis

If meta-analysis was not performed, synthesis was to be conducted using graphical

presentation of the data, for descriptive interpretation.

3. Results

3.1 Study selection

Database searching retrieved 352 papers, after removal of duplicates, screening and addition from reference lists 23 papers were included (Figure 1, Table 1, (Saari 2005, Weidow 2006, Scarvell 2007, Hamai 2009, Kitagawa et al. 2010, Yue et al. 2011, Farrokhi et al. 2012, Sharma et al. 2012, Kawashima et al. 2013, Mochizuki et al. 2013, Farrokhi et al. 2014, Fiacchi et al. 2014, Haladik et al. 2014, Kitagawa et al. 2014, Mochizuki et al. 2014, Gustafson et al. 2015, Li et al. 2015, Mochizuki et al. 2015, Dimitriou et al. 2016, Farrokhi et al. 2016, Hamai et al. 2016, Li 2017, Zeighami 2017)).

3.2 Quality and risk of bias within studies

A key strength of papers was quality of reporting (Table 1), especially stating aims and outcome measures. The study designs were weak in control of bias, internal validity and sufficient power to detect a minimum clinically important difference (Table 1). External validity was not reported in any of the papers. Only seven studies had a contemporaneous control group, and just five were matched for age and gender and none for body mass index (BMI). Furthermore, only five papers used statistical analyses that were capable of adjustment for repeated measures or confounding variables, and just one paper was powered sufficiently to detect a clinically important difference (Haladik 2014).

3.3 Characteristics of studies

There were no meta-analyses retrieved, 18 quasi experimental papers, and five descriptive

studies (Table 2). Sample sizes were generally small (mean osteoarthritis $n=12$; mean control group $n= 13.25$). Participants had predominantly advanced-stage medial-compartment osteoarthritis. One paper analysed medial and lateral osteoarthritis as a variable (Farrokhi 2012), and one included lateral compartment only (Weidow 2006). Participants with osteoarthritis were generally older (mean ages of 68, matched control group mean 48, and non-matched mean age 26 years).

Technologies used to measure arthrokinematics included fluoroscopy (single- or dual-plane) of the activity and either CT or MRI of the knee, or bi-planar x-ray. Dual-plane fluoroscopy solves issues with out-of-plane translation error, but adds radiation and smaller field of view (Fregly et al. 2008, Scarvell et al. 2008). Three-dimensional CT or MRI may be registered to the fluoroscopy to generate a 4-dimensional dynamic model and derive arthrokinematic data.

3.4 Kinematics in 6-degrees of freedom

Nine studies analysed kinematics in 6-degrees of freedom (Table 2). All nine reported knee flexion and internal/external rotation and six reported all 6-degrees of freedom. One paper reported variability between stable and unstable osteoarthritic knees, but not original data. The range of activities included gait, loading phase of downhill walking, stepping, step-up and lunge and quasi-static squat and knee flexion position with the foot on a step.

Synthesis:

Not more than two papers reported the same activity, so meta-analysis was not performed. Overall, the data for knees with osteoarthritis did not stand apart from the healthy knees. The data plots demonstrated that without exception, healthy and osteoarthritic knees exhibit concurrent tibial internal rotation with flexion (Figure 2). Some groups with osteoarthritis lacked 5-degrees of terminal knee extension (Figure 2, 3). In four of five studies with a contemporaneous control group, the osteoarthritic knees had less rotation than the healthy

knees with only Zeighami 2017 reporting more rotation during a quasi-static squat activity.

Knees with osteoarthritis tended to be more adducted than the healthy knees, but without a clear pattern of abduction/adduction associated with flexion (Figure 3). An exception, in which osteoarthritic knees were more adducted than the healthy knees, was in flexion beyond 90 degrees (90 to 105 degree lunge) (Yue 2011).

3.5 Contact patterns

Eleven papers analysed arthrokinematics as femur on the tibial plateau contact patterns (Table 2). One paper reported contact pattern by percentage of the gait cycle, rather than knee flexion (Haladik 2014). One paper reported data variability only (Gustafson 2015). The activities recorded by the remaining nine studies were lunge, downhill walking, step-up, chair-rise, open-chain leg extension, supine leg-press, quasi-squat, squat and kneeling. While three papers reported lunge, one included participants with rheumatoid arthritis and extraction of osteoarthritis data were not possible (Kitagawa 2014).

Analysis of these data required the tibial-plateau origin to be established and the size of the knee to be normalised. The origin was defined by either bisecting the line drawn between the most medial and lateral points (Farrokhi 2016), a line between the centres of circles fitted to the tibial articular surfaces (Li 2015, Zeighami 2017), or the distance from the posterior rim of the tibial plateau (Scarvell 2007). Normalisation was reported in four studies only. One paper reported data as a percentage of the tibial plateau (Li 2015). To plot these, we converted percentages to millimetres according to Zeighami 2017.

Synthesis:

Criteria for meta-analysis were not met. The heterogeneity of AP-translation data origins meant that the positions on the y-axis could not be interpreted; only the patterns and slopes

could be compared visually. The contact patterns for the medial-femoral condyle on the tibial plateau for healthy knees moved posteriorly during flexion in a quasi-static squat and leg-press but anteriorly in downhill gait (Figure 4). For knees with osteoarthritis the contact patterns moved anteriorly during flexion for chair-rise, open-chain leg extension and step-up but posteriorly for squat leg-press and kneel and stayed relatively stationary for step-up, downhill walking and lunge. Of the studies with a control group, the medial contact pattern for knees with osteoarthritis was usually more anterior to the healthy knees (Scarvell 2007, Farrokhi 2016).

The contact patterns for the lateral-femoral condyle posteriorly translated during knee flexion for both osteoarthritic and healthy knees (Figure 5). This posterior translation was rapid in the initial 40 degrees and then more gradual. However, downhill walking showed paradoxical anterior translation in the first 40 degrees of flexion.

3.6 Projection of the femoral-condylar axis above the tibia

Seven studies reported kinematics by projecting the femoral-condylar axis above the reference tibia (Table 2). The activities examined were squat, step-up, lunge and knee positioning in supine, and side lying. The three papers reported the same participants performing a squat, but different axes: geometric-centre axis (GCA) (Mochizuki 2013), transepicondylar axis (TEA) above the tibia (Mochizuki 2014), and the vertical distance of the TEA above the tibia (Mochizuki 2015) (Appendix). Saari 2005 and Weidow 2006 divided the participants into those with medial or lateral osteoarthritis.

Synthesis:

Meta-analysis was not performed. Plots of these data showed that for both osteoarthritis and healthy knees, the position of the medial-femoral-condylar axis above a reference tibial plateau showed the medial axis moving anteriorly for the first 40 to 60 degrees of flexion,

then remaining in place or translating posteriorly (Figure 6). There was no particular pattern observed for knees with osteoarthritis. For the studies with a control group, the shapes of curves for medial-axis translation very similar for healthy and osteoarthritic knees. However, during step-up, lunge and squat osteoarthritic knees began more posteriorly and remained more posterior than the healthy knees. In contrast, during a deeper squat the geometric-centre axis stayed slightly anterior (Mochizuki 2013). In lateral compartment osteoarthritis the medial-femoral axis moved more anteriorly during flexion (Weidow 2006), but in medial compartment osteoarthritis the medial femoral axis did not appear to translate anteriorly (Saari 2005).

For both osteoarthritic and healthy knees, the position of the lateral-femoral-condylar axis above a reference tibial plateau showed posterior translation during flexion (Figure 7). In studies with a control group the lateral axis was positioned more anteriorly for the knees with osteoarthritis, except for the lunge activity. Medial or lateral compartment osteoarthritis did not affect projections of the lateral-femoral-condylar axis.

4. Discussion

This systematic review aimed to identify and analyse the published research to define the characteristics of knee kinematics in knees with osteoarthritis that deviate from healthy kinematics. Meta-analysis was precluded because of the diversity measurement systems, reporting systems and activities. However, visual representations of the data demonstrated that osteoarthritic knees have a more adducted position throughout flexion, have a more anterior contact pattern in the lateral compartment throughout flexion, and a more anterior projection of the lateral-femoral-condylar axis above the tibia.

Meta-analysis was prevented by the diversity of methods used by research teams. Within each study there were close associations between kinematics of OA and healthy participants but between studies there were wide differences due to the diversity in the activities and the reference systems used for kinematic analysis. Broadly, the three main reference systems included 6 degrees of freedom, contact patterns, and projection of the femoral-condylar axis above a reference tibia. Within each system there was variation in origins and axes. For example, a 9-degrees variation in tibial (internal) rotation between the transepicondylar axis (4.8 degrees) and geometric-centre axis (13.8 degrees) has been described (Most et al. 2004). Similarly, projection of the femoral-condylar axes above the tibial plateau can vary by as much as 13 to 50 mm depending on the axes chosen for analysis (Walker et al. 2011). Use of the femoral-condylar or transepicondylar axis may result in variations of 4.6° (range, 1.8° to 11.3°) (Eckhoff et al. 2005). Comparison of study results requires consensus regarding the mechanical axes of the femur and the origins of the planes. Such standardisation will facilitate higher-level synthesis of research evidence in this field and facilitate future meta-analyses.

To measure kinematics in 6 degrees of freedom, reference axes need to be established for the femur and tibia. The femoral axes were commonly established by setting the flexion axis

of the femur (y) through the centres of spheres matched to the posterior-femoral condyles (GCA), the mechanical axis (x) intersecting the midpoint of the femoral-condylar axis with the femoral head, and the anteroposterior axis (z) was the cross-product (Farrokhi 2016). Variations of this method set the femoral condylar axis through the centres of circles fitted to the posterior-femoral condyles instead of spheres (FFC, Appendix) (Saari 2005, Weidow 2006) or by setting to the transepicondylar axis (TEA). Furthermore, the long axis of the femur may be set to the anatomical axis (shaft of the femur) (Yue 2011, Li 2015) or the mechanical axis (head of femur). There can be 5-10 degree difference between the mechanical and anatomical axis of the femur (Hollister et al. 1993). The tibial reference axes tended to be more consistent, with the mediolateral-tibial axis (y-axis) defined by the line connecting the most medial and lateral points of the tibial plateau. The mechanical axis (x-axis) was defined by the perpendicular bisector of the medial-lateral axis and a line drawn to the centre of the ankle joint (Farrokhi 2012). These comparisons demonstrate the wide variation between study methods that preclude comparison between osteoarthritic and healthy arthrokinematics.

Different activities resulted in a range of arthrokinematic patterns (Hamai 2009, Fiacchi 2014), demonstrating the task-dependence of kinematics. However, the overall association between flexion and internal rotation was relatively consistent. The arthrokinematics of the knee are derived partly by the architecture of the knee (Blankevoort et al. 1988) and partly by the forces arising from muscles and external forces (Andriacchi 2006). One contrasting activity was downhill walking (Farrokhi 2012, Farrokhi 2014, Gustafson 2015, Farrokhi 2016), potentially because it was an anterior centre of gravity, or eccentric quadriceps activity. Therefore, it appears that knee arthrokinematics in flexion is activity dependent.

Overall, there appeared to be reduced translation in the lateral compartment of knees with osteoarthritis. This was demonstrated by the anterior position of the projection of the lateral-condylar axis. While the comparative anterior position of the lateral axis could be interpreted

as external rotation (Saari 2005, Scarvell 2007, Kawashima 2013), we did not observe external rotation in the 6-degrees-of-freedom studies (Yue 2011), and neither did a thorough motion-analysis systematic review (Mills 2013). While Mills examined the effects progression of arthritis on arthrokinematics, this systematic review included medial, lateral, and bi-compartmental osteoarthritis of all grades. This may have cancelled out some of the observed effects. With standardisation of analysis methods, future studies might be able to examine progression of osteoarthritic on kinematics including rotation.

The participants in the reviewed studies had predominantly medial-compartment osteoarthritis, so it would have been reasonable to expect kinematic changes in the medial contact pattern, or medial-femoral axis projection. Instability in the medial kinematics may account for this. Farrokhi (2014) found the medial contact point excursions were longer with self-reported instability and that contact-point velocity was greater. Similarly, Gustafson et al. 2015 found that unstable knees had greater variability in sagittal-plane movement of medial contact points.

This systematic review should be interpreted in the light of its limitations. First, heterogeneity of study design precluded statistical meta-analysis so synthesis relied on graphical plots of arthrokinematics. Therefore, interpretation should be cautious. A future systematic review may consider combining two papers for meta-analysis when a contemporaneous control group is included. Second, the included studies were weak in terms of risk of bias, the limited use of contemporaneous control participants and small sample sizes with lack of power. The number of papers with contemporaneous comparison of osteoarthritic and healthy knees was small and some were dependent on historical control groups. This made them vulnerable to changes in technology, methods, and the execution of activities with resultant effects on the arthrokinematics recorded. All of the studies had small sample sizes, probably due to the technical complexity and reliance on imaging with radiation-exposure risk. This meant that they were under-powered to detect clinically-important differences.

However, some interesting observations have been made regarding this significant body of literature. As this field of research matures, the study design is expected to become more robust, and more opportunities to pool and compare data will emerge.

In conclusion, despite being unable to conduct a statistical meta-analysis, a number of important observations concerning the effect of osteoarthritis on knee arthrokinematics have emerged. Healthy knees and knees with osteoarthritis both internally rotate during flexion. Knees with osteoarthritis maintain a more adducted position, particularly from 0 to 90 degrees of flexion, and the projection of the lateral-femoral axis above the tibia remains more anterior than healthy knees, though this is not necessarily to be interpreted as external rotation. It is strongly recommended standardisation of reference axes and methods of analysis are required for this field of research to progress.

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Conflict of Interest Statement.

The authors have no conflicts of interest.

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Design of included studies:

Descriptive, observational, quasi-experimental, experimental studies, randomised controlled trials or systematic reviews.

Participants:

Participants will have knees with osteoarthritis. Osteoarthritis may include medial or lateral compartments or both.

Interventions:

Descriptions of knee motion by analysis of bony motion using medical imaging technologies. Medical imaging may include fluoroscopy, dynamic MRI or CT, ultrasound, radiofrequency instrumentation, or any other mechanism for determining the position of the bones or joint surfaces.

Motion could be captured by any functional activity including but not limited to gait, lunge or squat, open chain leg extension, or stepping.

Outcome measures:

Descriptions of knee motion by analysis of bony motion using medical imaging technologies, reported using any of system of recording, such as six degrees of freedom, tibiofemoral contact patterns, or centres of femoral motion.

Comparisons:

Kinematics of knees with osteoarthritis were compared to knees of healthy populations.

Assessment of quality of studies:

Modified Downs and Black assessment criteria (1998).

Quality of studies was not an exclusion criterion.

Box 1. Design of the systematic review.

Inclusion criteria:

Observational studies of knees with osteoarthritis

May or may not include comparison with healthy participants.

Intervention studies that have recorded the motion of knees with osteoarthritis prior to surgery

Report descriptive quantitative data

May record kinematics by

- 6 degrees of freedom
- Medial-lateral femoral condyle translation
- Tibio-femoral contact patterns
- Other measures of joint motion

Exclusion criteria:

Do not include any quantitative data

Reviews without new data

Healthy participants only

In vitro only

Patello-femoral joint only

Post surgery participants only

Gait/motion analysis by surface markers or video only

Finite Element Analysis only

Animal studies.

Box 2. Inclusion and exclusion criteria for selection of studies.

Recommendations

1 Design includes a contemporaneous control group. Methods change so fast, as technologies change, that data collected from a control group years ago is not valid.

2 Design includes matched control participants, preferably matched for age, gender and BMI to account for those covariates.

3 Design separates participants with medial from lateral compartment osteoarthritis as they may exhibit different kinematics.

4 Consensus is reached between research centres on an agreed referencing system for biomechanical analysis, to include setting the axes. In the meantime, consider complete reporting of methods regarding how axes were derived, how origins were set and how data were normalised to account for size of the knee.

Box 3. Recommendations for future studies in knee kinematics.

Figure 1. Flow chart of papers included in the systematic review.

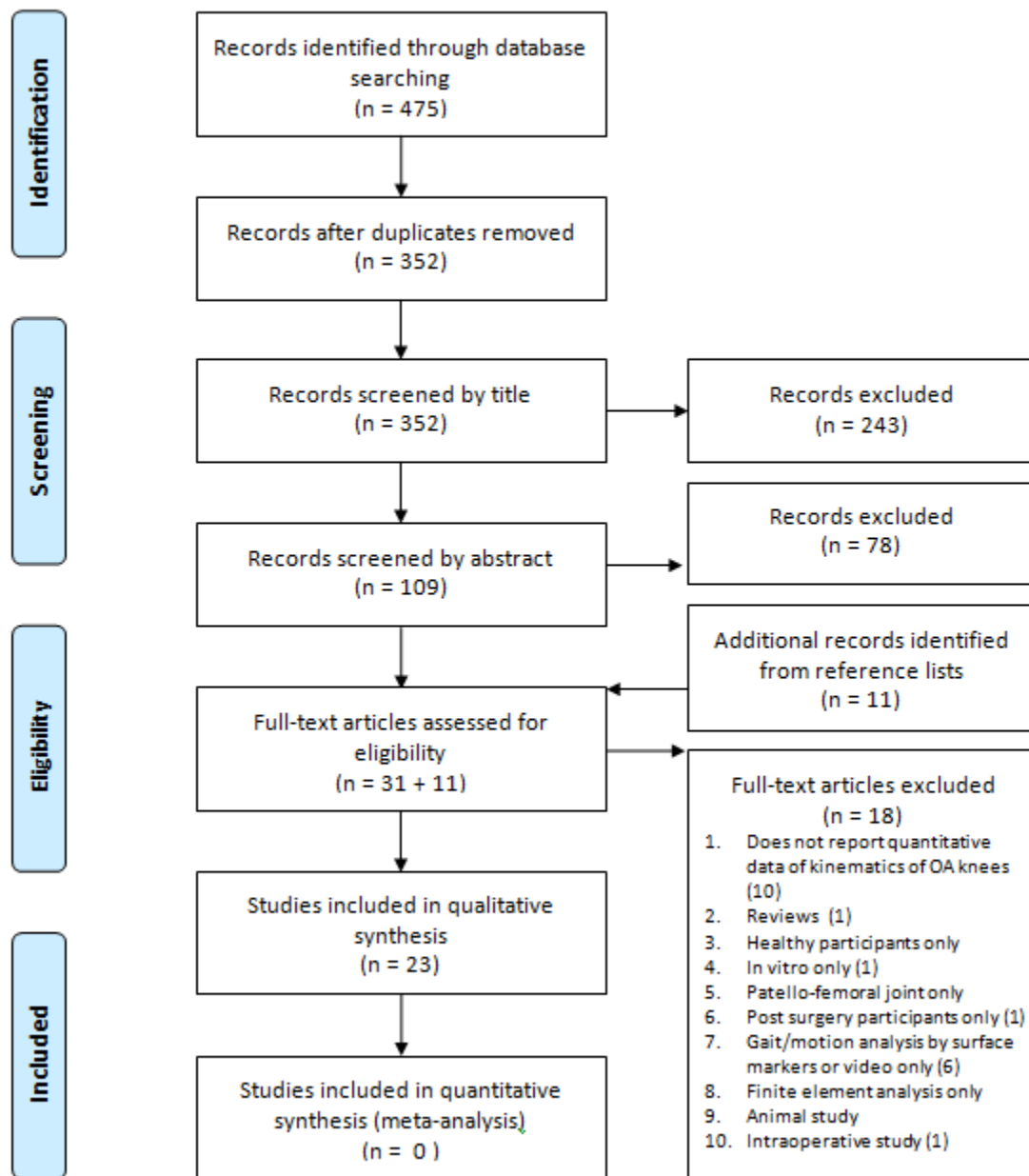


Figure 2. Kinematics of internal and external rotation in healthy knees and those with and osteoarthritis (symbols indicate papers).

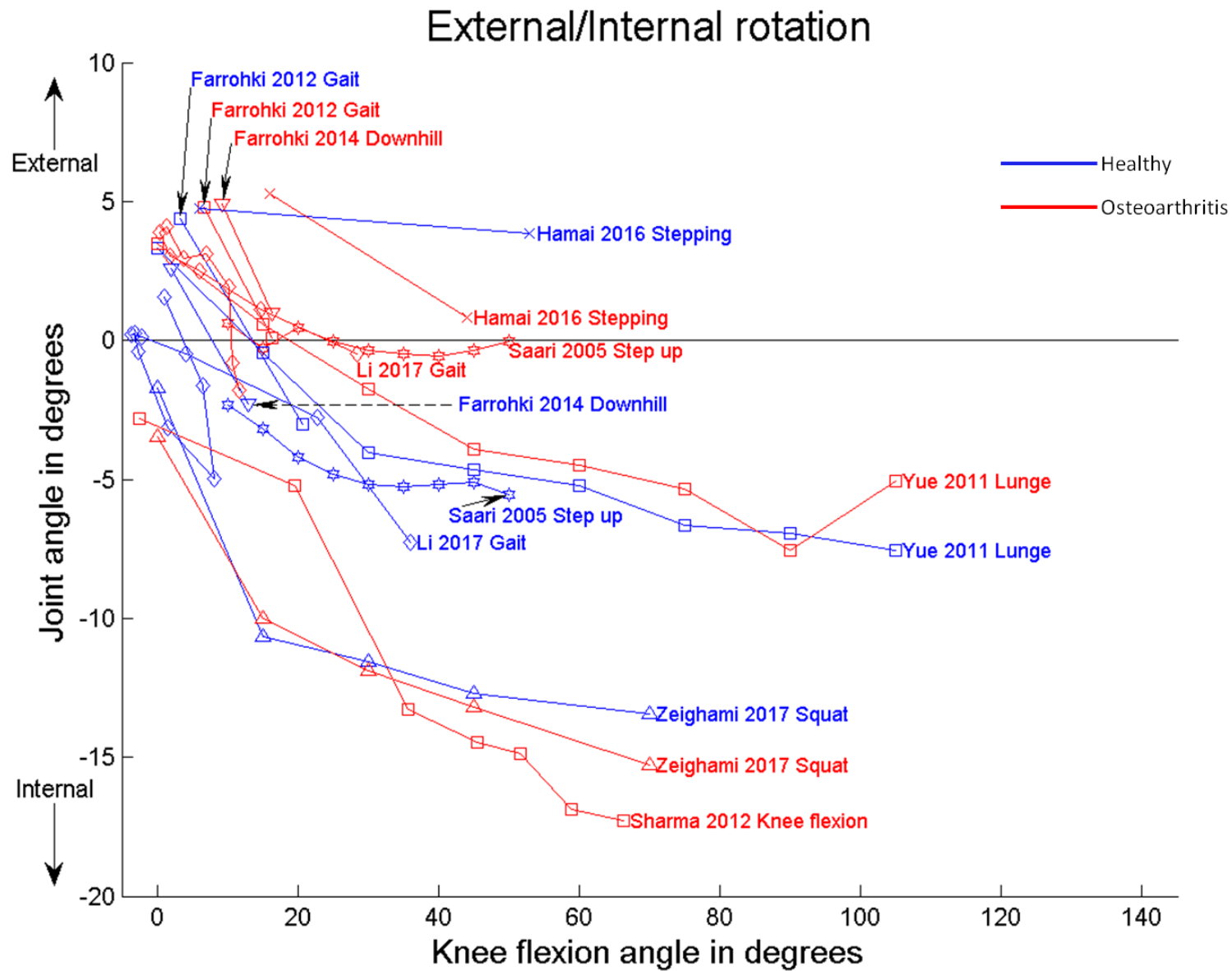


Figure 3. Kinematics of abduction and adduction in healthy knees and those with and osteoarthritis (symbols indicate papers).

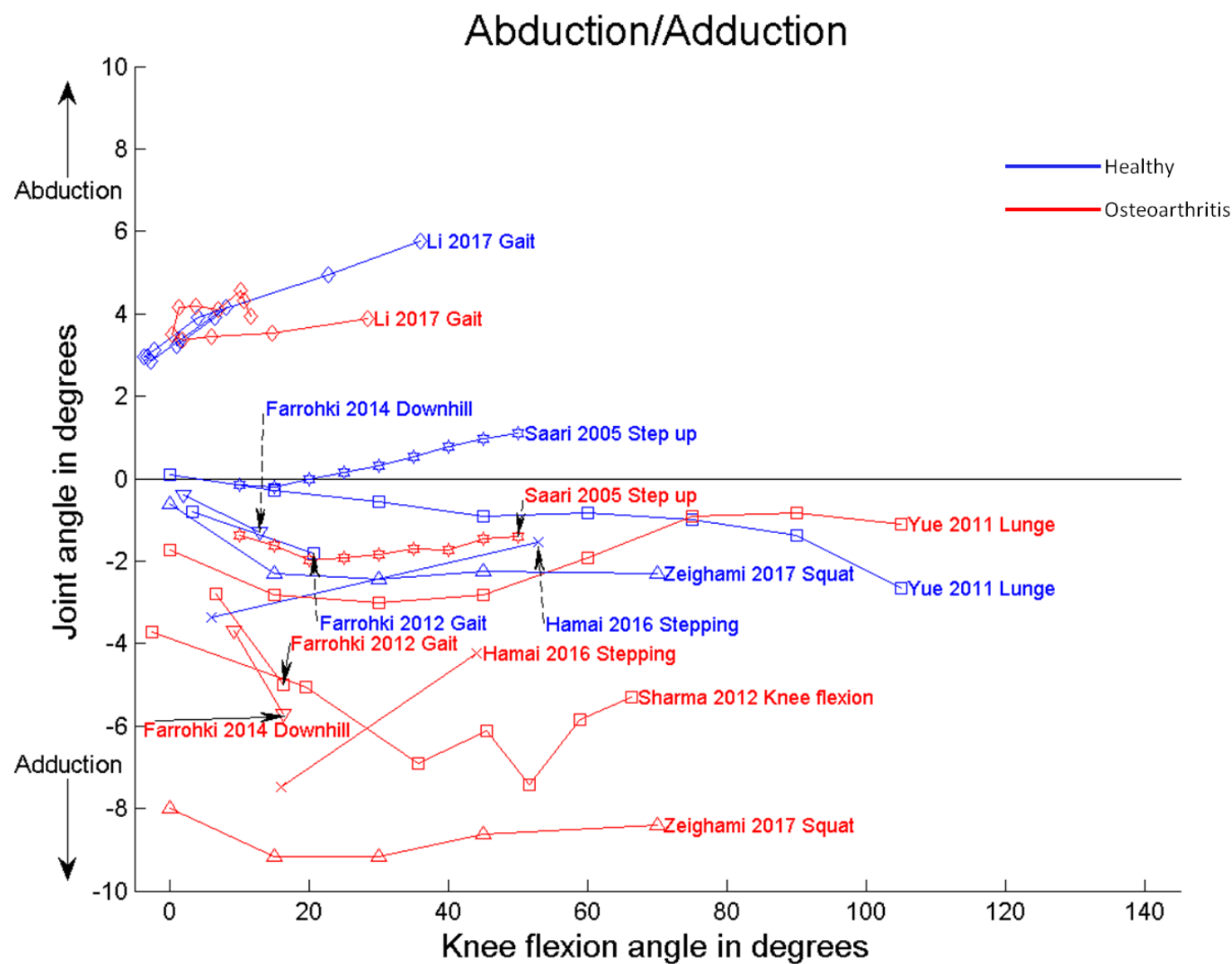


Figure 4. Kinematics recorded by tibiofemoral contact points in the medial compartment of healthy knees and those with and osteoarthritis (symbols indicate papers).

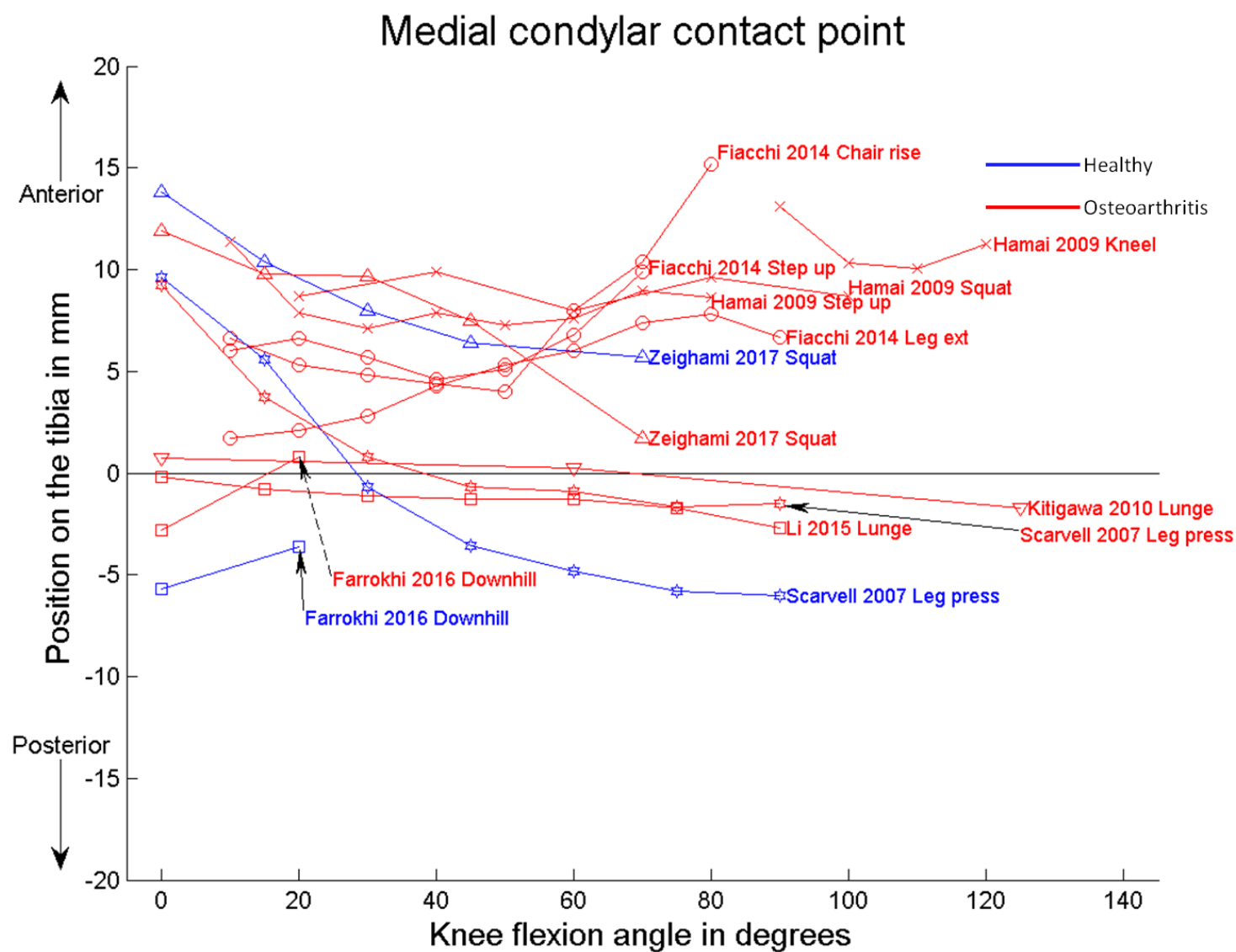


Figure 5. Kinematics recorded by tibiofemoral contact points in the lateral compartment of healthy knees and those with and osteoarthritis (symbols indicate papers).

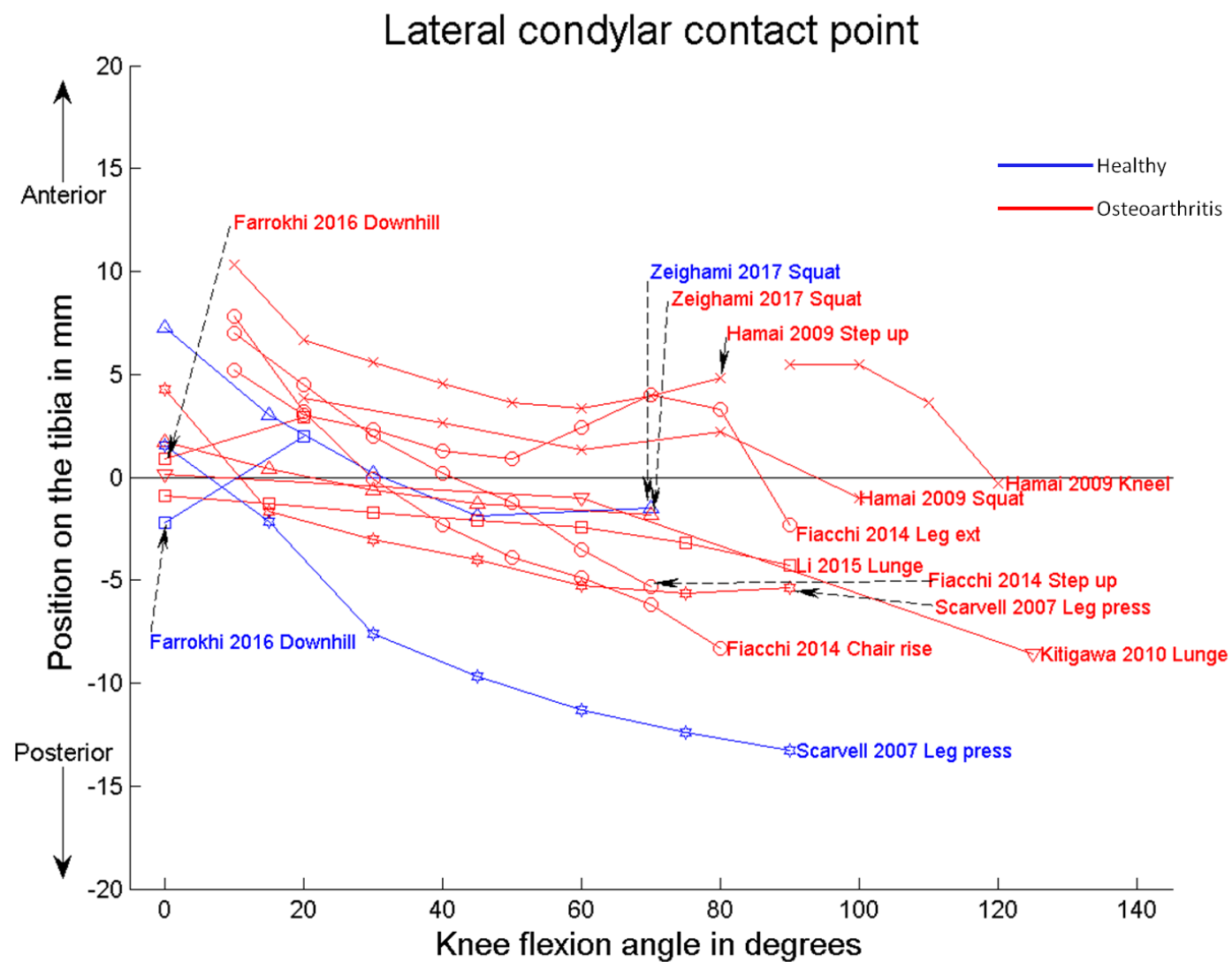


Figure 6. Kinematics recorded by projection of the femoral flexion axis above the medial tibia of healthy knees and those with and osteoarthritis (symbols indicate papers).

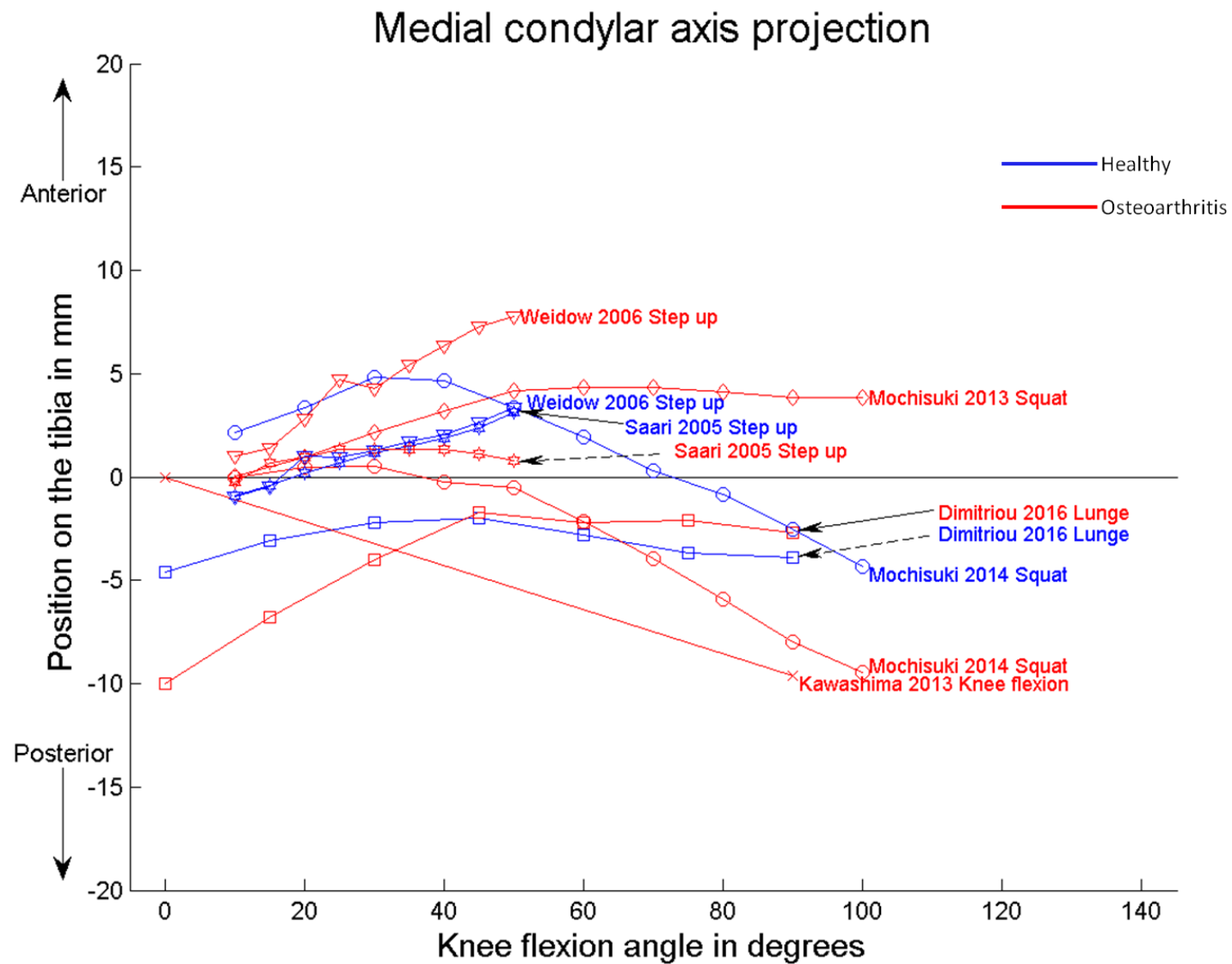


Figure 7. Kinematics recorded by projection of the femoral flexion axis above the lateral tibia of healthy knees and those with and osteoarthritis (symbols indicate papers).

